

10-09-01

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PTO/SB/05 (03-01)
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10/05/01
jc887 U.S. PTO

UTILITY PATENT APPLICATION TRANSMITTAL <small>(Only for new nonprovisional applications under 37 CFR 1.53(b))</small>	Attorney Docket No.	37422P012
	First Inventor or Application Identifier	Shawn X. Gao
	Title	DEVICE FOR PRESENTING ACOUSTICAL AND VIBRATORY STIMULI AND
	Express Mail Label No.	EM560888074US

APPLICATION ELEMENTS See MPEP chapter 600 concerning utility patent application contents	ADDRESS TO: Assistant Commissioner for Patents Box Patent Application Washington, DC 20231
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1. <input checked="" type="checkbox"/> Fee Transmittal Form (e.g. PTO/SB/17) (Submit an original, and a duplicate for fee processing) 2. <input checked="" type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. 3. <input checked="" type="checkbox"/> Specification Total Pages <input type="text" value="23"/> (preferred arrangement set forth below) - Descriptive title of the Invention - Cross References to Related Applications - Statement Regarding Fed sponsored R & D - Reference to sequence listing, a table, or a computer program listing appendix - Background of the Invention - Brief Summary of the Invention - Brief Description of the Drawings (if filed) - Detailed Description - Claim(s) - Abstract of the Disclosure 4. <input checked="" type="checkbox"/> Drawing(s) (35 U.S.C. 113) Total Sheets <input type="text" value="3"/> 5. Oath or Declaration Total Pages <input type="text"/> a. <input type="checkbox"/> Newly executed (original or copy) b. <input type="checkbox"/> Copy from a prior application (37 CFR 1.63(d)) (for continuation/divisional with Box 18 completed) i. <input type="checkbox"/> DELETION OF INVENTOR(S) Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b). 6. <input type="checkbox"/> Application Data Sheet. See 37 CFR 1.76.	7. <input type="checkbox"/> CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix) 8. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary) a. <input type="checkbox"/> Computer Readable Form (CFR) b. <input type="checkbox"/> Specification Sequence Listing on: i. <input type="checkbox"/> CD-ROM or CD-R (2 copies); or ii. <input type="checkbox"/> Paper c. <input type="checkbox"/> Statement verifying identity of above copies
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10/05/01


ACCOMPANYING APPLICATION PARTS 9. <input type="checkbox"/> Assignment Papers (cover sheet & document(s)) 10. <input type="checkbox"/> 37 CFR 3.73(b) Statement <input type="checkbox"/> Power of Attorney (when there is an assignee) 11. <input type="checkbox"/> English Translation Document (if applicable) 12. <input type="checkbox"/> Information Disclosure <input type="checkbox"/> Copies of IDS Statement (IDS)/PTO - 1449 Citations 13. <input type="checkbox"/> Preliminary Amendment 14. <input checked="" type="checkbox"/> Return Receipt Postcard (MPEP 503) (Should be specifically itemized) 15. <input type="checkbox"/> Certified Copy of Priority Document(s) (if foreign priority is claimed) 16. <input checked="" type="checkbox"/> Nonpublication Request under 35 USC 122(b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent 17. <input type="checkbox"/> Other:

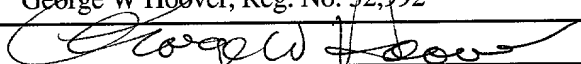
18. If a **CONTINUING APPLICATION**, check appropriate box, and supply the requisite information below and in a preliminary amendment:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No: _____ / _____

Prior application Information: Examiner _____ Group/Art Unit: _____

For **CONTINUATION** or **DIVISIONAL APPS** only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

17. CORRESPONDENCE ADDRESS					
<input checked="" type="checkbox"/> Customer Number of Bar Code Label  or <input type="checkbox"/> Correspondence address below					
Name: BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP					
Address: 12400 Wilshire Boulevard, Seventh Floor					
City	Los Angeles	State	California	Zip Code	90025
Country	U.S.A.	Telephone	(310) 207-3800	Fax	(310) 820-5988

Name (Print/Type)	George W Hoover, Reg. No. 32,992		
Signature		Date	10/05/01

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.

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FEE TRANSMITTAL for FY 2002

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT (\$)

370.00

Complete if Known

Application Number	
Filing Date	10/05/01
First Named Inventor	Shawn X. Gao, et al.
Examiner Name	
Group Art Unit	
Attorney Docket Number	37422P012

METHOD OF PAYMENT (check one)

1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:

Deposit
Account
Number

02-2666

Deposit
Account
Name

Blakely, Sokoloff, Taylor & Zafman LLP

- ☒ Charge Any Additional Fee Required
Under 37CFR 1.16 and 1.17

☐ Applicant claims small entity status.
See 37 CFR 1.27

2. ☒ Payment Enclosed:

☒ Check ☐ Money ☐ Other
Order

FEE CALCULATION

1. FILING FEE

Large Entity Code	Small Entity Code	Fee (\$)	Fee Description	Fee Paid
101	201	740	Utility filing fee	\$370
106	206	330	Design filing fee	
107	207	510	Plant filing fee	
108	208	740	Reissue filing fee	
114	214	160	Provisional filing fee	

SUBTOTAL (1) (\$)

370.00

2. EXTRA CLAIM FEES

Total Claims	Extra Claims	Fee from below	Fee Paid
16	20** = 0	X \$9.00 =	0.00
1	3** = 0	X \$42.00 =	0.00
Multiple Dependent			

Large Entity Small Entity

Large Entity Code	Small Entity Code	Fee (\$)	Fee Description
103	203	18	Claims in excess of 20
102	202	84	Independent claims in excess of 3
104	204	280	Multiple Dependent claim
109	209	84	**Reissue independent claims over original patent
110	210	18	**Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$)

0.00

**or number of previously paid, if greater; For Reissues, see above

FEE CALCULATION (continued)

3. ADDITIONAL FEE

Large Entity Code	Small Entity Code	Fee (\$)	Fee Description	Fee Paid
105	205	130	65 Surcharge - late filing fee or oath	
127	227	50	25 Surcharge - late provisional filing fee or cover sheet.	
139	139	130	130 Non-English specification	
147	147	2,520	2,520 For filing a request for ex parte reexamination	
112	112	920	920 Requesting publication of SIR prior to Examiner action	
113	113	1,840	1,840 Requesting publication of SIR after Examiner action	
115	215	110	55 Extension for response within first month	
116	216	390	195 Extension for response within second month	
117	217	890	445 Extension for response within third month	
118	218	1,390	695 Extension for response within fourth month	
128	228	1,890	945 Extension for response within fifth month	
119	219	320	160 Notice of Appeal	
120	220	320	160 Filing a brief in support of an appeal	
121	221	280	140 Request for oral hearing	
138	138	1,510	1,510 Petition to institute a public use proceeding	
140	240	110	55 Petition to revive - unavoidably	
141	241	1,280	640 Petition to revive - unintentionally	
142	242	1,280	640 Utility issue fee (or reissue)	
143	243	460	230 Design issue fee	
144	244	620	310 Plant issue fee	
122	122	130	130 Petitions to the Commissioner	
123	123	50	50 Petitions related to provisional applications	
126	126	180	180 Submission of Information Disclosure Stmt	
581	581	40	40 Recording each patent assignment per property (times number of properties)	
146	246	740	370 Filing a submission after final rejection (37 CFR 1.129(a))	
149	249	740	370 For each additional invention to be examined (37 CFR 1.129(b))	
179	279	740	370 Request for Continued Examination (RCE)	
169	169	900	900 Request for expedited examination of a design application	

Other fee (specify)

* Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$)

SUBMITTED BY

Typed or
Printed Name

George W Hoover, Reg. No. 32,992

Signature

Date

10/05/01

Complete (if applicable)

Reg. Number

Deposit Account
User ID

02-2666

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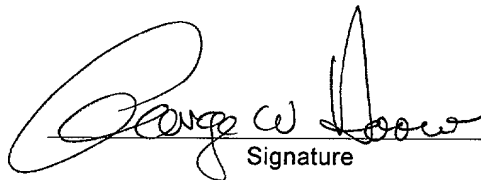
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REQUEST AND CERTIFICATION UNDER 35 U.S.C. 122 (B)(2)(B)(i)	First Named Inventor		Shawn X. Gao
	Title	DEVICE FOR PRESENTING ACOUSTICAL AND VIBRATORY	
	Attorney Docket No.	37422P012	

I hereby certify that the invention disclosed in the attached application **has not and will not be** the subject of an application filed in another country, or under a multilateral agreement, that requires publication at eighteen months after filing. I hereby request that the attached application not be published under 35 U.S.C. 122(b).

10/05/01

Date


Signature

George W Hoover, Reg. No. 32,992

Typed or printed name

This request must be signed in compliance with 37 CFR 1.33(b) and submitted with the application **upon filing**.

Applicant may rescind this nonpublication request at any time. If applicant rescinds a request that an application not be published under 35 U.S.C. 122(b), the application will be scheduled for publication at eighteen months from the earliest claimed filing date for which a benefit is claimed.

If applicant subsequently files an application directed to the invention disclosed in the attached application in another country, or under a multilateral international agreement, that requires publication of applications eighteen months after filing, the applicant **must** notify the United States Patent and Trademark Office of such filing within forty-five (45) days after the date of the filing of such foreign or international application. **Failure to do so will result in abandonment of this application (35 U.S.C. 122(b)(2)(B)(iii)).**

Burden Hour Statement: This collection of information is required by 37 CFR 1.213(a). The information is used by the public to request that an application not be published under 35 U.S.C. 122(b) (and the PTO to process that request). Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This form is estimated to take 6 minutes to complete. This time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. **DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.**